

77-21-11
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.2651

In Re Application Of:

Yin et al.

999
JCS
APR 16 1999

Serial No
09/293,188

Filing Date
April 16, 1999

Examiner
Not yet assigned

Group Art Unit
2814

Title

INTERCONNECT STRUCTURE WITH INTERLAYER DIELECTRIC

Address to
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e)

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c)

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

In Re Application Of
Yin et al.

July 19 1890

Serial No Filing Date
09/293,188 April 16, 1999

Examiner

Group Art Unit
2814

Title:

INTERCONNECT STRUCTURE WITH INTERLAYER DIELECTRIC

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.

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*This certificate may only be used if paying by deposit account.

deposit account.

Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on July 1, 1999 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

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Dated July 0 , 1999

TC 2003 Final 2000M

PATENT APPLICATION

Docket No: 11675.165.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yin, et al.

Serial No.: 09/293,188

Filed: April 16, 1999

For: INTERCONNECT STRUCTURE WITH
INTERLAYER DIELECTRIC

TC 2000 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Statement of References Previously Disclosed
Under 37 C.F.R. § 1.98(d)

The following listed references are not enclosed because, under 37 C.F.R. § 1.98(d), they were previously cited by or submitted to the Office in application number 09/143,289, filed on August 28, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

United States Patent Documents

<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
5,659,201	8/19/97	Wollesen	257	758	6/5/95
5,405,492	4/11/95	Moslehi	156	643	11/30/93

Foreign Patent Documents

6-140397 5/94 Japan (Fujisawa)

Dated this 8th day of July, 1999

Respectfully submitted,



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